

Docket No.: 060188-0685



*CJC*  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277  
Osamu MATSUI, et al. : Confirmation Number: 9750  
Application No.: 10/690,705 : Group Art Unit: 2811  
Patent No. 6,975,003 B2  
Filed: October 23, 2003 : Examiner: Gene M. Munson  
Issued: December 13, 2005  
For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.322**

Mail Stop CERTIFICATE OF CORRECTION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate  
MAR 21 2006  
of Correction**

Sir:

In reviewing the above-identified patent, a printing error was discovered therein requiring correction in order to conform the Official Record in the application.

The error noted is set forth on the attached copy of form PTO-1050 Rev. 2-93 in the manner required by the Commissioner's Notice.

Specifically, on the title page and at the top of Column 1 in the specification, Item "(54)", change the title from SEMICONDUCTOR CMOS SOI" to -- **SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME** --. Copies of the Declaration and Issue Fee transmittal form, showing the correct version of the title are enclosed.

In addition, on the title page, Item "(56) References Cited", below the listing of "6,313,508 B1 11/2001 Kobayashi", insert the following: -- FOREIGN PATENT DOCUMENTS 3111947

**10/690,705**  
6,975,003

9/2000 (JP) -- This patent is mentioned on Page 1, line 11, of the specification, a copy of which is attached.

The change requested herein occurred as a result of printing the Letters Patent and the Certificate should be issued without expense under Rule 322 of the Rules of Practice. Accordingly, Applicants request issuance of the Certificate of Correction.

Please charge any shortage in fees due in connection with the filing of this paper to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Michael E. Fogarty  
Registration No. 36,139

**Please recognize our Customer No. 20277  
as our correspondence address.**

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
Phone: 202.756.8000 MEF:bd  
Facsimile: 202.756.8087  
**Date: March 17, 2006**

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6975003 B2

DATED : December 13, 2005

INVENTOR(S) : Osamu MATSUI, et al.

It is certified that error appears in the above-identified patent and that said Letter Patent is hereby corrected as shown below:

**TITLE PAGE,**

Item "(54)" and top of Column 1,  
change "SEMICONDUCTOR CMOS SOI" to -- SEMICONDUCTOR  
DEVICE AND METHOD FOR FABRICATING THE SAME --;

Item "(56) **References Cited**", following the listing  
"6,313,508.B1 11/2001 Kobayashi", insert  
-- FOREIGN PATENT DOCUMENTS  
3111947 9/2000 (JP) -

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or Fax (703) 746-4000



INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

03/18/2005

Jack Q. Lever, Jr.  
 McDERMOTT, WILL & EMERY LLP  
 600 Thirteenth Street, N.W.  
 Washington, DC 20005-3096

CUSTOMER NO.: 20277

Note: A certificate of mailing can only be used for domestic mailings of Fee(s) Transmittal. This certificate cannot be used for any other accompany papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)

(Signature)

(D)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/690,705	10/23/2003	Osamu Matsui	60188-685	9750

TITLE OF INVENTION: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	06/20/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
MUNSON, GENE M	2811	257-351000			

## 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
 "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

## 2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 McDERMOTT WILL &amp;

2 EMERY LLP

3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.

OSAKA, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

## 4a. The following fee(s) are enclosed:

- Issue Fee  
 Publication Fee (No small entity discount permitted)  
 Advance Order - # of Copies Four

## 4b. Payment of Fee(s):

- A check in the amount of the fee(s) is enclosed.  
 Payment by credit card. Form PTO-2038 is attached.  
 The Director is hereby authorized to charge the required fee(s), or credit any overpayment Deposit Account Number 500417 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

 a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

04/18/2005

Typed or printed name Michael E. Fogarty

Date 04/18/2005 Registration No. 36,139

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

O P E MAR 17 2006  
PATENT & TRADEMARK OFFICE

Docket No. \_\_\_\_\_

**COMBINED DECLARATION/POWER OF ATTORNEY  
FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME**  
\_\_\_\_\_, the specification of which

(check one)  is attached hereto.

— was filed on \_\_\_\_\_ as  
Application Serial No. \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)	Priority Claimed		
<u>2002-309319</u> (Number)	<u>JAPAN</u> (Country)	<u>24/10/2002</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

321 LU00

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(Appln. Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)
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(Appln. Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)
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I hereby appoint as my attorneys, with full power of substitution and revocation, to prosecute the patent application identified above and to transact all business in the U.S. Patent and Trademark Office connected therewith: Raphael V. Lupo (Reg. No. 28,363); Jack Q. Lever, Jr. (Reg. No. 28,149); Kenneth L. Cage (Reg. No. 26,151); Stanislaus Aksman (Reg. No. 28,562); Paul Devinsky (Reg. No. 28,553); Edward E. Kubasiewicz (Reg. No. 30,020), Michael E. Fogarty (Reg. No. 36,139); Brian E. Ferguson (Reg. No. 36,801); Robert W. Zelnick (Reg. No. 36,976); and Wilhlem F. Gadiano (Reg. No. 37,136).

Please address all correspondence and telephone calls to:

Jack Q. Lever, Jr.  
McDERMOTT, WILL & EMERY  
600 Thirteenth Street, N.W.  
Washington, D.C. 20005-3096  
(202) 756-8000

The undersigned hereby authorizes the U.S. attorneys named herein to accept and follow instructions from Maeda Patent Office as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Osamu MATSUI

Inventor's signature Osamu Matsui Date October 22, 2003

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13212006

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Inventor's signature Yoshinobu Sato Date October 20, 2003

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\* City and State, or City and Country for foreign inventors

*CHIE*  
*MAR 17 2006*  
PATENT & TRADEMARK OFFICE

## SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME

### BACKGROUND OF THE INVENTION

The present invention relates to a semiconductor device and a method for fabricating the device, and more particularly relates to a semiconductor device in which MOS transistors are formed on an SOI (silicon on insulator) substrate, and a method for fabricating the device.

Conventionally, semiconductor devices that have a MOS structure, in which a Pch-transistor and an Nch-transistor are formed on an SOI substrate, have found a wide variety of applications. Particularly, the patent document 1 (Japanese Laid-Open Patent Publication No. 3111947 (FIG. 1 and descriptions thereof)) discloses a semiconductor device for use in a driving circuit in a plasma display, which semiconductor device employs MOS transistors with an offset structure in order to obtain a high breakdown-voltage characteristic.

FIG. 6 is a cross-sectional view of a conventional semiconductor device that includes transistors with an offset structure as the semiconductor device disclosed in the patent document 1 does. In the semiconductor device shown in FIG. 6, an N-channel transistor and a P-channel transistor, each being a MOS transistor with an offset structure, are formed on a common SOI substrate.

As shown in FIG. 6, the conventional semiconductor device includes a buried oxide film 102, a semiconductor layer 103, trench isolation regions 104, field oxide films 105a through 105d, and an interlevel dielectric film 106. The buried oxide film 102 is formed on a supporting substrate (silicon substrate) 101. The semiconductor layer 103 is formed on the buried oxide film 102. The trench isolation regions 104 are provided to divide the semiconductor layer 103 into a plurality of active regions 103a, 103b, ... . The field oxide